

MARKETING NAME **NSA 5700**
REGULATORY MODEL **1RK53-116**
Product **Network Security Appliance**
EFFECTIVE DATE **July 19, 2024**
SCIP NUMBER **cc70da2e-d981-4498-9a33-121377057176**
EMC EMISSIONS CLASS **A**

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TABLE OF CONTENTS

I.	POWER CORDS AND USER DOCUMENTATION	1
II.	RESPONSIBLE PARTY NAME AND ADDRESS	1
III.	SYSTEM DIMENSION AND WEIGHT	2
IV.	PRODUCT MATERIALS INFORMATION.....	2
V.	PACKAGING	2
VI.	BATTERIES	2
VII.	DESIGN FOR ENVIRONMENT	2
VIII.	RECYCLING/ END-OF-LIFE SERVICE INFORMATION	3
IX.	RESTRICTIONS OF HAZARDOUS SUBSTANCES (RoHS)	3
X.	EUROPEAN UNION REACH DIRECTIVE 1907/2006 SVHC	3
XI.	EUROPEAN UNION WASTE FRAMEWORK DIRECTIVE 2008/98/EC (Article 9(1)(i)) (SCIP Database).....	3
XII.	EUROPEAN UNION ECODESIGN REQUIREMENTS DIRECTIVE 2008/98/EC ErP/EuP	3
XIII.	CALIFORNIA PROPOSITION 65	3

STATEMENT OF COMPLIANCE

This product has been determined to be compliant with the applicable standards, regulations, and directives for the countries where the product is marketed. The product is affixed with regulatory marking and text as necessary for the country/agency SonicWall supports. Generally, product compliance is based international standards such as IEC, ETSI and CISPR standards and their national equivalent. For example, Product Safety is IEC 62368-1 and European Norm EN 62368-1 or EMC is CISPR 32/35 and EN 55032/55035.

This product has been assigned a unique regulatory model shown above. The regulatory model is imprinted on the product labeling to provide traceability to the regulatory approvals noted on this datasheet and/or product label. This datasheet applies to any product that utilizes the assigned regulatory model including marketing names other than those listed on this datasheet.

Compliance documentation, such as certifications or declarations of compliance for this product, is available upon request to regulatory@sonicwall.com. Please include marketing name, regulatory model, and country that compliance information is needed in request.

I. POWER CORDS AND USER DOCUMENTATION

SonicWall products are provided with the power cord and user documentation suitable for the intended country of delivery. Products that are relocated to other countries should use nationally certified power cords and plugs to ensure safe operation of the product. Contact SonicWall to determine if alternate power cords or user documentation in other languages is available for your market.

II. RESPONSIBLE PARTY NAME AND ADDRESS

SonicWall Inc.
 Attention: Hardware Regulatory
 1033 McCarthy Blvd.
 Milpitas, CA 95035 USA
regulatory@sonicwall.com

III. SYSTEM DIMENSION AND WEIGHT

Depth, mm/cm	Width, mm/cm	Height, mm/cm	Weight, kg
426 mm	432 mm	44 mm	8.66 Kg (depending upon installed options)

IV. PRODUCT MATERIALS INFORMATION

SonicWall's restricted material guidance:

- The case material is, >Steel (1.0 mm thickness)<.
- Marking of plastic parts greater than 25 grams may not be completed in accordance with ISO 11469 on all components. The information below is valid for marked and non-marked components.

Flame Retardants Used in Motherboard

Part	Flame Retardant
Motherboard	TBPP

Flame Retardants Used in Mechanical Plastic Parts > 25 grams

Resin Material Name	Marking per ISO 1146 9:2000, 11469:1996	Flame Retardant Marking per ISO 1043-4 (i.e. FR(16), FR(40), etc.)	Flame Retardant (i.e. TBBPA, triaryl phosphate ester, etc.)	List applicable R-Phrase(s) or Hazard Statement(s) per EU Directive 67/548/EEG or 1272/2008
Fan duct	>PC<FR(40)	FR(40)	Sulfate flame retardant	None used

V. PACKAGING

Note the recycling codes and dispose-of responsibility:

Packaging Materials	Total Weight of each Material type, (kg)
Corrugated Fiberboard	2.75
EPS	0.696
LDPE Bags	0.081

VI. BATTERIES

No battery is installed:

Battery Description – Batteries	Battery Type	Battery Weight (g)
BR1632A Coin Cell	Lithium	2.9

- *Not user accessible. Must be returned to authorized service center for replacement.*
- *Product contains one single cell Lithium Metal battery.*
- *Lithium is less than 1g. UN# 3091 IATA Dangerous Goods Regulations (DGR) applies. Use instructions 970 Section II, when shipped in original packing for that product; to be shipped as not restricted, including by passenger aircraft.*

For more details on batteries including MSDS please click [here](#).

VII. DESIGN FOR ENVIRONMENT

When applicable, SonicWall systems are designed for easy assembly, disassembly, and servicing.

VIII. RECYCLING/ END-OF-LIFE SERVICE INFORMATION

Waste Electrical and Electronic Equipment (WEEE) Directive 2012/19/EU take back and recycling services in European Union are offered through SonicWall distributor. For additional information go to <https://www.sonicwall.com/legal/environmental-and-regulatory-affairs.aspx>.

For recycling in other countries or regions, local regulations should be observed when disposing of this product due to the presence of the materials and substances listed in prior sections. Please contact your local recycling authority. This would be the same organization used to recycle old televisions, appliances, and computers.

IX. RESTRICTIONS OF HAZARDOUS SUBSTANCES (RoHS)

This product has been verified to comply with worldwide RoHS requirements. It does not contain restricted substances in concentrations and applications not permitted by the RoHS laws and directives.

Declaration of conformity for **EU RoHS Directive 2011/65/EU and 2015/863 (RoHS3)** are included in the European Union CE DoC for this product. Latest CE DoC for this product can be downloaded from <https://www.sonicwall.com/legal/environmental-and-regulatory-affairs.aspx>.

X. EUROPEAN UNION REACH DIRECTIVE 1907/2006 SVHC

REACH (Registration, Evaluation, Authorization and Restriction of Chemicals, 1907/2006) is the European Union's (EU) chemical substances regulatory framework. The REACH SVHC list is updated approximately every 6 months. The latest list can be found at <https://echa.europa.eu/candidate-list-table/>.

SonicWall's latest REACH declarations can be downloaded from <https://www.sonicwall.com/legal/environmental-and-regulatory-affairs.aspx>.


XI. EUROPEAN UNION WASTE FRAMEWORK DIRECTIVE 2008/98/EC (Article 9(1)(i)) (SCIP Database)

Substances of Concern In articles as such or in complex objects (Products) (SCIP): SonicWall has submitted information to European Chemicals Agency (ECHA) when SonicWall product (complex objects) contain REACH SVHC in concentration above 0.1% weight by weight (w/w). The SCIP number is show at top of this document. Search the ECHA SCIP database (<https://echa.europa.eu/scip-database>) for the SonicWall regulatory model number to display what was submitted.

XII. EUROPEAN UNION ECODESIGN REQUIREMENTS DIRECTIVE 2008/98/EC ErP/EuP

ErP compliance is tied to the CE mark. This product (Network Security Appliance) is currently out of scope of all implementing measures.

XIII. CALIFORNIA PROPOSITION 65

 **WARNING** : This is product can expose you to chemicals including Pb and Pb compounds, which is known to the State of California to cause cancer and reproductive harm. For more information, go to www.P65Warnings.ca.gov.